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**COMMENTS ON THE LIST OF INSTALLATIONS FOR THE 2008-2012
PERIOD IN GERMANY AS OF FEBRUARY 28TH 2011**

Imprint

Publisher:

German Emissions Trading Authority (DEHSt)

at the Federal Environment Agency

Bismarckplatz 1

14193 Berlin

Telephone: +49 (0)30 89 03-50 50

Fax: +49 (0)30 89 03-50 10

<http://www.dehst.de/EN>

E-mail: emissionstrading@dehst.de

THE 2012 INSTALLATION LIST, AS OF 28/02/2011

Overview of Allocation Changes

Between 30/11/20010 and 28/02/2011, further changes to the NAP were submitted to the EU Commission by the DEHSt, which have been accepted until March 2011 and were uploaded to CITL.

Thus, on 28/02/2011 1680 installations were subject to emissions trading. Six installations have been added that were not included in the list of 28/02/2010, while four installations were notified that they do not fulfil the criteria for participation in emissions trading.

Overall, changes were made for 61 installations. Current changes about the list of 30/11/2010 are found in the "update" column of the list. The table below lists the cases and numbers of installations concerned, sorted by category in the installation list. Several changes may have been made to the same installation at different times.

Table 1: Overview of Allocation Changes

allocation status	category installation list	number of changes approved by COM				
		28.02.2008	28/02/2008 to 30/11/2009	30/11/2009 to 28/02/2010	28/02/2010 to 30/11/2010	30/11/2010 to 28/02/2011
no allocation changes		1665	1571	1619	1612	1623
added	new entrant entrance after 2008		10 0	4 1	11 1	6 0
allocation changes in 2 nd TP	capacity extension		40	9	29	30
	closure		3	11	15	5
	exempt from ETS		1	6		9
	change of allocation - merger		1		2	0
	change of allocation		39	19	8	5
	change of allocation, capacity extension		2	1		2
in NAP-table		1665	1667	1670	1678	1680
retrospectively exempt from ETS in 2 nd TP	revocation		1	2		4
	revocation - merger		5			
	exempt from ETS before 2 nd TP		2		4	
out of NAP-table			8	2	4	4
total		1665	1675	1672	1682	1684

The German overall budget (CAP) amounts to 2,258,796,905 emission allowances (EUAs).

The actual CAP is reduced by the amount of 56,580 EUAs compared to the National Allocation Plan (NAP) from November 30th 2010, there was a reduction by the amount of 441,545 EUAs compared to the initial National Allocation Plan (NAP) before. The reduction is based on the four dropped out installations which are not subject to the emissions trading but were counted up to the total before.

The theoretical reserve for new entrants amounts to 86,336,802 EUAs as of 28/02/2011. It is expected that all allocations will be issued and potential retrospective claims will be honoured. In the table, the amount of auctioned allowances has not been changed. In the trading period from 2008 to 2012 the annual auction volume amounts to 40 million emission allowances (basic amount)¹.

The emissions given for the years 2005 to 2009 are the current data from emissions reports.

¹ In addition, further allowances are auctioned to cover the costs incurred by the Federal Government to observe its function in context to emission trading (refunding amount). In contrast to the basic amount, the annual refunding amount is taken from the new entrance reserve.