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COMMENTS ON THE LIST OF INSTALLATIONS FOR THE 2008-2012 PERIOD IN GERMANY AS OF FEBRUARY 28TH 2010

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Publisher:

Umweltbundesamt

Deutsche Emissionshandelsstelle (DEHSt)

Bismarckplatz 1

14193 Berlin

Telephone: +49 (30) 89 03-50 50

Fax: +49 (30) 89 03-50 10

www.dehst.de

E-mail: emissionshandel@dehst.de

THE 2012 INSTALLATION LIST, AS OF 28/02/2010

Overview of Allocation Changes

Between 30/11/2009 and 28/02/2010, further changes to the NAP were submitted to the EU Commission by the DEHSt. These have been accepted in April 2010 and were uploaded to CITL.

Thus, on 28/02/2010 1670 installations were subject to emissions trading. Five installations have been added that were not included in the list of 30/11/2009, while two installations were notified that they do not fulfil the criteria for participation in emissions trading.

Overall, changes were made for 53 installations. Current changes are found in the "update" column of the table, which lists the cases and numbers of installations concerned, sorted by category in the installation list. Several changes may have been made to the same installation at different times.

Table 1: Overview of Allocation Changes

Allocation Status	Category in Installation List	Number of Changes approved by COM		
		28/02/2008 to 28/02/2010	28/02/2008 to 28/02/2009	30/11/2009 to 28/02/2010
No allocation changes		1525	1571	1619
Added	New Entrant after 2008	14	10	4
		1		1
Allocation Changes in 2 nd TP	Capacity extension	47	40	9
	closure	14	3	11
	Exempt from ETS	7	1	6
	Change of Allocation - merger	1	1	
	Change of Allocation	58	39	19
	Change of Allocation, capacity extension	3	2	1
Retrospectively exempt from ET in 2 nd TP	Revocation	3	1	2
	Revocation - merger	5	5	
	Exempt from ETS before 2 nd TP	2	2	
Total		1680	1675	1672

After these NAP changes, the German overall budget (CAP) amounts to 2,257,820,955 emission allowances (EUAs). It was cut by the amount of allowances allocated to those

installations that were given a revocation notice (1,474,075 EUAs). The allowances issued for installations that merged were transferred to the installations that continue to exist.

The theoretical reserve for new installations amounts to 103.457.250 emission allowances as of 28/02/2010 (new entrance reserve). It is expected that all allocations will be issued and potential retrospective claims will be honoured.

In the list of installations, the amount of auctioned allowances has not been changed. In the trading period from 2008 to 2012 the annual auction volume amounts to 40 million emission allowances (basic amount). ¹

The emissions given for the years 2005 to 2008 are the current data from emissions reports. A column 2009 has been added to accommodate emission values reported to the German Registry by 31/03/2010.

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¹ In addition, further allowances are auctioned to cover the costs incurred by the Federal Government to observe its function in the context of emission trading (refunding amount). In contrast to the basic amount, the annual refunding amount is taken from the new entrance reserve.